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# Metal (Pb, Cd, Zn) binding to diverse organic matter samples and implications for speciation modelling

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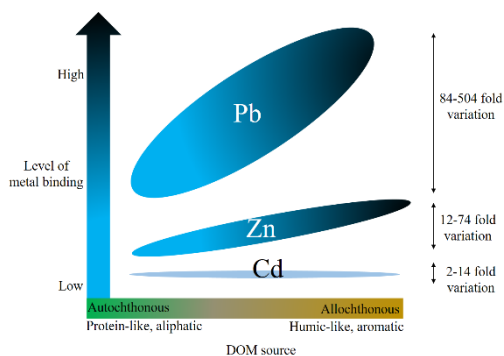
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## Abstract

This study evaluated the influence of dissolved organic matter (DOM) properties on the speciation of Pb, Zn and Cd. Six DOM samples were categorized into autochthonous and allochthonous source based on their absorbance and fluorescence properties. The concentration of free metal ions ( $C_M^{2+}$ ) measured by titration using Absence of Gradient and Nernstian Equilibrium Stripping (AGNES) was compared with that predicted by Windermere Humic Aqueous Model (WHAM). At the same binding condition (pH, dissolved organic carbon, ionic strength, and total metal concentration) the allochthonous DOM showed a higher level of Pb binding than the autochthonous DOM (84- to 504-fold  $C_{Pb}^{2+}$  variation). This dependency, however, was less pronounced for Zn (12- to 74-fold  $C_{Zn}^{2+}$  variation) and least for Cd (2- to 14-fold  $C_{Cd}^{2+}$  variation).

The WHAM performance was affected by source variation through the active DOM fraction (F). The commonly used  $F = 1.3$  provided reliable  $C_{\text{Pb}}^{2+}$  for allochthonous DOMs and acceptable  $C_{\text{Cd}}^{2+}$  for all DOM, but significantly underpredicted  $C_{\text{Pb}}^{2+}$  and  $C_{\text{Zn}}^{2+}$  for autochthonous DOM. Adjusting F improved  $C_{\text{M}}^{2+}$  predictions, but the optimum F values were metal-specific (e.g., 0.03 – 1.9 for Pb), as showed by linear correlations with specific optical indexes. The results indicate a potential to improve WHAM by incorporating rapid measurement of DOM optical properties for site-specific F.



## Introduction

Dissolved organic matter (DOM) is ubiquitous in natural waters and plays an essential role in regulating metal speciation and toxicity. In most cases, the free metal ion is the most toxic species, and its binding to DOM can alleviate metal toxicity.<sup>1–4</sup> The binding reaction is affected by water chemistry (*i.e.*, competitive ions, pH, ionic strength, and mineral oxides) and DOM properties.<sup>5</sup> The water chemistry is relatively easy to characterize or define. The description of DOM properties, however, is challenged by the heterogeneity regarding composition, structures, and molecular weight<sup>6,7</sup> of DOM and their effects on metal binding.<sup>8–11</sup>

The Windermere Humic Aqueous Model (WHAM) is used to predict the concentration of free metal ions (*e.g.*,  $C_{\text{M}}^{2+}$  for divalent metal ion) for toxicity assessments by Biotic Ligand Model (BLM).<sup>12–14</sup> WHAM assumes the stability constants are independent of DOM heterogeneities,<sup>15,16</sup>

but the binding site capacity varies between DOM samples. WHAM calculates the binding site capacity based on the concentration of dissolved organic carbon ( $C_{\text{DOC}}$ ) and the active fraction of DOM participating in metal binding ( $F$ ;  $F = C_{\text{ADOM}} / C_{\text{DOC}}$ , where  $C_{\text{ADOM}}$  is the concentration of active DOM for metal binding).<sup>17</sup> Unlike  $C_{\text{ADOM}}$ , the  $C_{\text{DOC}}$  can be experimentally determined. A reliable metal toxicity assessment by BLM, therefore, partially relies on the use of adequate  $C_{\text{ADOM}}$  and  $F$  value in WHAM. For Cu, Bryan and coworkers<sup>18</sup> determined the geometric mean of different  $F$  values for 15 UK surface waters was 1.3. Since then,  $F = 1.3$  has been widely used by WHAM users, even though the DOM properties may vary with sources and the metals of interest may not be Cu.<sup>13,14,17,19,20</sup> In fact, numerous studies<sup>12,19,21–24</sup> have shown that the level of Cu-binding increased with increasing allochthonous characteristics (*i.e.*, increasing aromaticity, humic-like component, and optical color), suggesting the necessity of adjusting  $F$  to improve  $C_{\text{Cu}^{2+}}$  predictions for specific DOM samples. Some studies<sup>12,25,26</sup> have found that the optimal  $F$  ( $F_{\text{opt}}$ ) values correlated linearly with DOM property surrogates based on absorbance and fluorescence for Cu (*e.g.*, aromaticity<sup>12,25</sup> or abundance of fluorescent humic-like components<sup>26</sup>). To derive these correlations, studies carefully designed to measure and compare  $C_{\text{M}^{2+}}$  between different DOM are indispensable.

However, the evaluation of DOM properties on the binding of toxic metals, including Pb,<sup>24,27–30</sup> Zn,<sup>31,32</sup> and Cd,<sup>3,8,24</sup> are limited. Town et al.<sup>16</sup> extensively reviewed the variation of Pb-binding affinity between different isolated humic substances and biota-derived materials, implying the property effects of organic matter on Pb-binding. More recent studies have found the hydrophobicity<sup>33</sup> and the abundance of fluorescent humic-like components<sup>34</sup> were significantly influencing Pb-binding. Nonetheless, all the studies mentioned above were limited to isolated humic substances,<sup>16,35</sup> biota-derived materials,<sup>16</sup> leachates of sewage<sup>34</sup> or organic matter from

wastewater effluents.<sup>33</sup> Whether these organic matters can represent the natural DOM, however, remains unclear.<sup>16</sup> Only few studies compared the level of Zn-binding between different natural DOM and inconsistent results were reported, likely due to different methods to characterize and differentiate DOM samples, different extents of DOM property variation, and different binding conditions. For example, Cheng and Allen<sup>15</sup> found a similar level of Zn-binding between three surface water DOM samples with different geographic locations, dissolved organic and inorganic carbon concentrations. Contrarily, Muller et al.<sup>26</sup> determined the absorbance and fluorescence characteristics of eleven Canadian lake DOM samples and found the level of Zn-binding significantly decreased with increasing allochthonous feature. Cheng et al.<sup>36</sup> also reported a varying degree of Zn-binding between five freshwater DOM, but the observed variation was possibly not due to the variation of DOM properties alone because of different binding conditions (*i.e.*, varying DOC, pH, and hardness). On the other hand, the evaluation of DOM property effects on Cd binding are relatively more limited. Xue and Sigg<sup>37,38</sup> concluded the variation of Cd-binding between three lakes was linked to the variation of biological primary productivity; no specific DOM or water chemistry characterization, however, was performed. Mueller et al.<sup>26</sup> attributed the variation of Cd-binding between 11 lake DOM to the varying abundances of fluorescent humic-like components. However, in their study, the effects of DOM properties on Cd-binding were not explicitly isolated from the impacts of water chemistry, including DOC, pH, and hardness.<sup>39</sup> Another challenge is the method used to estimate  $C_M^{2+}$ , including Anodic stripping voltammetry (ASV)<sup>15,36,38</sup> and competitive ligand exchange adsorptive cathodic stripping voltammetry (CLE-AdCSV).<sup>37</sup> ASV not only measures  $M^{2+}$  but also other labile metal species,<sup>40</sup> whereas CLE-AdCSV requires complicated equilibrium and kinetic consideration,<sup>40,41</sup> which makes the comparison with the WHAM-predicted  $C_M^{2+}$  less straight forward.

In this study, we experimentally determined and compared the metal speciation (*i.e.*, Pb, Zn, and Cd) between six different DOM from surface waters. The DOM properties were characterized by four techniques, including the fluorescence excitation-emission matrix (FEEM), absorbance, potentiometric titrations, and Cr-reducible-sulfide (CRS). The FEEM allows us to rapidly obtain the optical spectroscopic DOM components for sample differentiations.<sup>42</sup> The absorbance provides a convenient way to estimate the aromaticity<sup>43</sup> and molecular sizes<sup>44</sup> of DOM, important physicochemical factors affecting metal binding.<sup>11,12</sup> The potentiometric titrations determine the abundances of DOM functional groups.<sup>45,46</sup> The CRS method was used to determine the concentration of reduced sulfides,<sup>47,48</sup> thought to be representative of strong metal binding sites.<sup>49</sup> These techniques, particularly the FEEM and absorbance, are accessible, rapid, and well-accepted.<sup>42</sup> More importantly, the property data measured by these techniques are relatively simple to process, and therefore, can be potentially integrated into the WHAM model. Metal titrations, rather than one-point speciation,<sup>26</sup> were performed to determine the metal binding to various ligands on DOM molecules (*e.g.*, from weak to strong ligand: carboxylic, phenolic, reduced sulfur or other multidentate)<sup>16,49,50</sup> under fixed DOC concentration, pH and ionic strength. Absence of Gradient and Nernstian Equilibrium Stripping (AGNES) was employed to measure the  $C_M^{2+}$  (*i.e.*  $C_{Pb}^{2+}$ ,  $C_{Zn}^{2+}$ , and  $C_{Cd}^{2+}$ ),<sup>35,51,52</sup> which allows us to make direct comparisons with the WHAM-predicted  $C_M^{2+}$  and determine  $F_{opt}$ .

Specifically, this study aimed to 1) evaluate the effect of DOM properties on Pb-, Cd-, and Zn-binding ; 2) investigate the reliability of using  $F = 1.3$  to predict  $C_M^{2+}$  for site-specific DOMs and metals other than Cu; 3) derive potential correlations between the  $F_{opt}$  and measurable DOM properties. The results of this study will show a potential of integrating DOM property parameters into WHAM for predicting  $C_M^{2+}$  where organic matter quality varies significantly.

## *Materials and methods*

### *Collection of different DOM samples*

Natural DOM samples were collected from five different sites, including Desjardin Canal (DC; N 43°15'59.2308"N, W 079°56'33.8280"), Burlington Bay (BBL; N 43°18'03.3984", W 079°50'42.3528"), Amazon River (AMR; S 3°5'41.5", W 60°21'19.6"), Bannister Lake (BL; N 43°30'N, W 80°38'), and Luther Marsh (LM; N 43°39', W 80°26'). At each site, the raw water sample was first filtered through a 1 µm pore-size filter, and then concentrated by reverse osmosis (RO).<sup>53</sup> The RO technique has been shown to preserve DOM integrity.<sup>54</sup> The RO-DOM concentrates were immediately transported back to the lab where they were treated by cation exchange resins (AG50W-X8, H<sup>+</sup> form, BioRad, Richmond, CA, USA) to remove background metal ions.<sup>24</sup> Briefly the resins were activated by 4 mol L<sup>-1</sup> HCl, followed thoroughly rinsing with milli-Q water (MQW; resistance ≥ 18 MΩ cm<sup>-1</sup> and C<sub>DOC</sub> < 3 ppb; Millipore) before usage. To prevent humic acid precipitation, the final pH of DOM samples after resination was adjusted to 2–3. All RO-DOM concentrates were stored at 4° C in darkness until use. Because of the addition of hydrochloric acid during resination, the concentration of chloride ion (Cl<sup>-</sup>) in RO-DOM concentrates were determined by the chloride ion selective electrode (Mantech, PCE80-Cl-1001) to assess the concentrations of metal-chloride species.

The Suwannee River Humic Acid (SRHA) standard was also used to represent allochthonous DOM. The SRHA (i.e. 1S101H) was purchased from International Humic Substance Society and used without pretreatment.

### *Characterization of DOM properties*

The FEEM and absorbance were measured at 10 mg L<sup>-1</sup> DOC at pH 8.0 (Supplementary information (SI), page S1). The FEEMs were operationally delimited into three fluorescence regions that represent different DOM components<sup>55</sup>: protein-like component at Ex250-

300/Em300-400 (C1), terrestrially-derived humic-like component at Ex250-300/Em400-600 (C2), and humic-like component at Ex300-500/Em400-600 (C3). The ‘-like’ term means the fluorescence properties of these components resemble that of pure humic, fulvic, and protein materials. The relative abundances of each component (C1%–C3%) were estimated by integrating the volume under the respective fluorescent peaks (SI, page S2).

Different component or source indices were used: the abundance ratio of humic-like to protein-like component ( $\text{Hum/Pro} = (\text{C2\%} + \text{C3\%}) / \text{C1\%}$ ), fluorescence index ( $\text{FI} = \text{fluorescence intensity}_{\text{Ex370nm/Em450nm}} / \text{fluorescence intensity}_{\text{Ex370nm/Em500nm}}$ ),<sup>56</sup> and autochthonous contribution index ( $\text{BIX} = \text{fluorescence intensity}_{310\text{nm-excitation and } 380\text{nm-emission}} / \text{fluorescence intensity}_{310\text{nm-excitation and } 430\text{nm-emission}}$ ).<sup>57</sup> The autochthonous feature increases with increasing BIX value.<sup>57</sup>

Different indicators based on absorbance measurements were used to estimate DOM properties. The DOM aromaticity was estimated by the specific absorbance coefficient at 254, 340, and 436 nm (*i.e.*  $\text{SUVA}_{254} = 2.303 \times \text{absorbance at } 254 \text{ nm} / C_{\text{DOC}}$ ,  $\text{SAC}_{340} = 2.303 \times \text{absorbance at } 340 \text{ nm} / C_{\text{DOC}}$ , and  $\text{SCOA}_{436} = 2.303 \times \text{absorbance at } 436 \text{ nm} / C_{\text{DOC}}$ ).<sup>43,58,59</sup> The  $\text{SUVA}_{254}$  and  $\text{SAC}_{340}$  values increase with increasing DOM aromaticity.<sup>43,58</sup> The  $\text{SCOA}_{436}$  value increases with increasing yellow-brown colored moieties.<sup>58</sup> The molecular weight of DOM was estimated by the ratio of absorbance at 254 nm to that at 365 nm ( $\text{Abs}_{254}/\text{Abs}_{365}$ ),<sup>59</sup> and the ratio of spectral slope at 275–295 nm to that at 350–400 nm ( $S_R$ ).<sup>44</sup> The  $\text{Abs}_{254}/\text{Abs}_{365}$  and  $S_R$  decrease with increasing molecular weight.<sup>44,59</sup>

The proton reactivity was determined by potentiometric titration (SI; page S2). The proton binding affinity spectra (*i.e.* site capacity ( $L_{\text{T-H}}$ ) vs. site affinity ( $\text{pK}_a$ )) were determined by optimally fitting the deprotonation data (*i.e.* pH vs. overall charge density) to a continuous-site model through a Fully Optimized ContinUous (FOCUS) procedure.<sup>45</sup> Based on the affinity



spectrum, the proton sites were categorized into three groups: acidic site ( $\text{pK}_a \leq 5$ ), intermediate site ( $5 < \text{pK}_a \leq 8.5$ ), and basic site ( $\text{pK}_a > 8.5$ ), representing the deprotonation of carboxylic and phenolic groups as pH increases.<sup>60</sup> The total capacity ( $L_{\text{T-H}}$ ;  $\mu\text{mol mg-C}^{-1}$ ) was calculated by integrating the area within their  $\text{pK}_a$  ranges. A Proton Binding Index was calculated ( $\text{PBI} = L_{\text{T-H}}$  at intermediate site / ( $L_{\text{T-H}}$  at acid site +  $L_{\text{T-H}}$  at basic site)/2).<sup>58</sup> The PBI index is thought to increase with increasing potential of DOM to form strong metal complexes.<sup>58</sup>

A hierarchical clustering analysis was used to group the samples based on similar absorbance and fluorescence DOM properties (SI, page S3).<sup>21</sup> The accuracy of the clustering was described by a cophenetic correlation coefficient (*i.e.* the closer to 1, the more accurate), and the dissimilarity level between samples was described by an inconsistency coefficient (*i.e.* the larger, the more distinct).

### *Metal titration*

Metal titrations were performed with low DOM concentration.<sup>16,33</sup> The pH = 8.0 was selected because it makes the deprotonation of most binding sites within environmentally relevant pH range.<sup>61</sup> The pH = 8.0 also makes most of the binding sites deprotonated. For all samples, a 100-mL DOM solution containing  $C_{\text{DOC}} = 10 \text{ mg L}^{-1}$  and 0.1 M  $\text{KNO}_3$  electrolyte was prepared by appropriate dilution of RO-concentrate with MQW and dissolution of  $\text{KNO}_3$  salt (Sigma; purity > 99%). The 100-mL DOM solution was then transferred to a 200-mL glass vessel for titration experiments. The pH of the DOM solution was consistently monitored by a micro-pH electrode (Manteq, PCE-80-PH1020MIC). The initial pH before adjustment was around 2-3. Under this acidic condition, the DOM solution was consistently purged with high-purity  $\text{N}_2$  for 5-10 minutes to remove all  $\text{HCO}_3^-/\text{CO}_3^{2-}$  species. Then the pH was fixed to 8.0 for the entire titration and AGNES experiment by additions of microliter  $\text{HNO}_3$  or  $\text{NaOH}$  (*i.e.* 0.1, 1, or 5  $\text{mol L}^{-1}$ ). Additionally, to prevent  $\text{CO}_2$  and  $\text{O}_2$  dissolution, the voltammetric vessel was seal and the

headspace was filled with high-purity N<sub>2</sub>. Increasing amounts of metal titrants were added to reach a total metal concentration ( $C_{M,T}$ ) ranging from  $10^{-8.5}$  to  $10^{-4.0}$  mol L<sup>-1</sup> (*i.e.*,  $10^{-0.25}$  mol L<sup>-1</sup> increment; the total accumulative volume of metal titrant < 0.5 ml). The metal titrant solutions were 0.1–100 ppm Pb, Cd, or Zn, prepared by appropriate dilutions of 1000 ppm AAS standard solutions (Sigma Aldrich) with 2% double-distilled HNO<sub>3</sub>. The total accumulative titrant volume was  $\leq 3\%$  of the sample volume and dilution effects were corrected accordingly. The  $C_{M,T}$  range approximately corresponded to a metal:DOM ratio (mg/mg) increasing from 1:10000 to 1:10, which enabled us to determine metal binding to various ligands with orders of magnitude differences in binding affinity (*i.e.* from strong to weak).<sup>61,62</sup> Following each metal addition, the AGNES procedure was implemented to measure  $C_M^{2+}$ .

#### *AGNES measurement of $C_M^{2+}$*

The experimental specifications of the AGNES procedures for measuring  $C_M^{2+}$  were summarized in the SI (page S4–S5). The method has been preliminarily tested by measuring the  $C_{Pb}^{2+}$ ,  $C_{Cd}^{2+}$ , and  $C_{Zn}^{2+}$  in the presence of tryptophan over a pH range from 2.0 to 8.5. Good agreements of  $C_M^{2+}$  were achieved between AGNES measurements and model predictions (Figure S1), validating the reliability of the method.

#### *WHAM prediction of $C_M^{2+}$*

The  $C_M^{2+}$  at each titration point was predicted by WHAM (Version 7.0.4, Centre for Ecology & Hydrology, Natural Environment Research Council, UK) with inputs of  $C_{DOC} = 10$  mg L<sup>-1</sup>, pH = 8.0, ionic strength = 0.1 M KNO<sub>3</sub>,  $C_{M,T}$  ( $10^{-8.5}$ – $10^{-4.0}$  mol L<sup>-1</sup>), and  $C_{Cl^-}$  (*i.e.*,  $C_{Cl^-} = 0, 7.4, 5.4, 20.3, 61.7, \text{ and } 79.6$  mmol L<sup>-1</sup> for SRHA, LM, ARM, BL, BBL, and DC, respectively). No background metal was considered because of the resination treatment.<sup>24</sup> The original concentration of  $C_{NO_3^-}$  was assumed to be negligible due to the low  $C_{NO_3^-}$  in Canadian rivers and lakes (*i.e.*, <

0.06 mmol L<sup>-1</sup>);<sup>63</sup> thus, nitrate concentration was equal to the ionic strength buffer value of 0.1 mol L<sup>-1</sup>. The actual concentration of SO<sub>4</sub><sup>2-</sup> (C<sub>SO<sub>4</sub><sup>2-</sup></sub>) in DOM samples was not measured. However, within the C<sub>SO<sub>4</sub><sup>2-</sup></sub> range in Canada (*i.e.* C<sub>SO<sub>4</sub><sup>2-</sup></sub> = 0–6 mmol L<sup>-1</sup> for natural and contaminated surface waters<sup>64</sup>), modeling tests (SI, page S6) showed the impact of SO<sub>4</sub><sup>2-</sup> on Pb-, Zn-, and Cd-binding was negligible (SI, Figure S2–S4). Therefore, the C<sub>SO<sub>4</sub><sup>2-</sup></sub> was not considered as a WHAM input in this study. Although the carbon content may vary between samples, all DOMs were assumed to contain 50% DOC by mass<sup>65</sup> and comprised of 100% fulvic acid<sup>26</sup> (except the SRHA) to keep consistency with previous studies<sup>13,18,26,13,18,26</sup>. The SRHA was set to contain 100% humic acid. The usual default F value (F<sub>def</sub>=1.3) was first used. The optimal F value (F<sub>opt</sub>) was then determined by iteratively adjusting F until the overall difference in C<sub>M</sub><sup>2+</sup> between the AGNES measurements and WHAM predictions was minimized. The overall difference was described by the Root Mean Squared Error (RMSE) term (Equation (1); *n* is the total number of metal titration points, and *i* is a specific titration point). The RMSE for F<sub>def</sub> and F<sub>opt</sub> is RMSE<sub>def</sub> and RMSE<sub>opt</sub>, respectively.

$$\text{RMSE} = \sqrt{\frac{1}{n} \sum_{i=1}^n (\log C_{\text{M}^{2+},i,\text{measured}} - \log C_{\text{M}^{2+},i,\text{WHAM}})^2} \quad (1)$$

## Results and discussions

### DOM properties

The DOM property parameters and indices are summarized in Table S1. Based on the FEEMs (Figure S5) the abundance of humic-like components (C2%+C3%) varied by a factor of 1.5 between DOM samples (70.3%–94.7%), whereas the protein-like component abundance (C1%) varied by a factor of 6 (5%–30%). The Hum/Pro varied by a factor of 7.6 (2.36–17.93). These component-relevant parameters indicate that the variability in the protein-like component is greater than that of the humic-like component. The FI value varied from 0.85–1.28, within the range

previously reported for freshwater aquatic DOM spanning from autochthonous to allochthonous source  $-2.31^{26,54}$ ). However, the higher end of FI range in our study was smaller than that of previous study (*i.e.*, 2.13),<sup>54</sup> indicating our DOM samples were relatively more terrestrially-derived.<sup>66</sup> The BIX value varied within a range of 0.33–1.22, indicating varying degree of autochthonous features.<sup>57</sup> Although direct comparisons of BIX variation range for freshwaters were not available, our BIX range was comparable with the BIX range for estuarine waters (*i.e.* 0.65–0.95<sup>57</sup>). Together these fluorescence parameters indicated the DOM samples were within a gradient ranging from more autochthonous to more allochthonous source, although the distinction (by fluorescence) is not as large as that from previous studies.<sup>54</sup>

The  $SUVA_{254}$  and  $SAC_{340}$  varied by a factor of 3.50 (*i.e.* 4.04–13.97) and 7.29 (*i.e.* 0.86–6.27), respectively, indicating the variation of DOM aromaticity.<sup>43,46</sup> The  $SCOA_{436}$  varied by a factor of 11.7 (*i.e.* 0.15–1.75), showing the variation of the colored moieties.<sup>67</sup> The  $S_R$  and  $Abs_{254}/Abs_{365}$  varied by a factor of 3.48 (*i.e.* 1.89–4.53) and 2.61 (*i.e.* 3.3–7.66), respectively, showing variation of molecular weight.<sup>59,68</sup> Comparable variation factors have been also reported by previous studies for DOMs spanning from autochthonous to allochthonous source (*i.e.*, 2–3.16 for  $SUVA_{254}$ ,<sup>12,26,46,61,69</sup> 1.18–11.93 for  $SAC_{340}$ ,<sup>46,54</sup> 7.8 for  $SCOA_{436}$ ,<sup>46</sup> 3.48 for  $S_R$ ,<sup>69</sup> and 2.62 for  $Abs_{254}/Abs_{365}$ <sup>59</sup>).

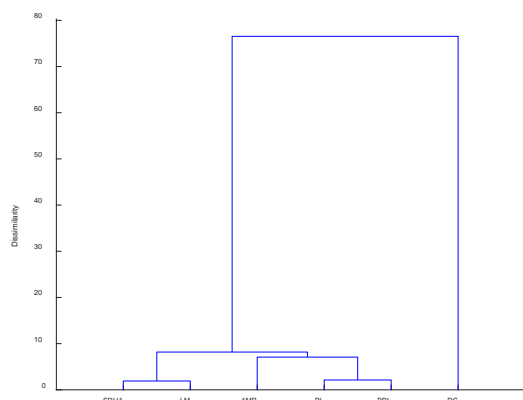
The proton binding affinity spectrum was only determined for SRHA, LM, AMR, and BL because the  $C_{DOC}$  of the RO-concentrates from BBL and DC were too low to provide reproducible titration data (*i.e.*  $C_{DOC} \leq 81 \text{ mg L}^{-1}$ ) (Figure S6). The total and group-specific (*i.e.* acidic, intermediate, and basic) proton-binding site capacity varied between samples. The PBI values varied from  $< 0.21$  to 1.48. The PBI values for BBL and DS were estimated to be  $< 0.21$  according to the linear correlation between PBI and  $SAC_{340}$  from a previous study.<sup>46</sup>

The highest CRS was 84.25 nmol mg-DOC<sup>-1</sup> in DC, whereas the CRS levels in other samples were < 8 nmol mg-DOC<sup>-1</sup>. The exceptionally high CRS in DC was possibly due to the presence of a waste treatment plant nearby. The CRS level in sewage effluent-impact waters (16-22 mg-DOC<sup>-1</sup>)<sup>70</sup> was relatively higher than that in unpolluted freshwaters (*i.e.* 4-8 nmol mg-total carbon<sup>-1</sup>).<sup>71</sup>

Based on the property parameters in Table S1 (*i.e.* except the PBI values due to the missing data for BBL and DC), the DOM samples were statistically classified into three groups (*i.e.* cophenetic correlation coefficient  $\geq 0.99$ ) (Figure1). The first group included SRHA and LM (*i.e.* inconsistency coefficient = 0), which represents the allochthonous-dominant DOM based on the understanding of these sampling sites.<sup>23,46</sup> The second group included AMR, BL, and BBL. Within this group, the BL and BBL were most similar (*i.e.* inconsistency coefficient = 0), but distinct from AMR (*i.e.* inconsistency coefficient = 0.71). The second group represents the autochthonous-dominant DOM. The difference between AMR and the pair of BL and BBL can be explained by the relatively higher allochthonous signature in AMR. The autochthonous-dominant DOM was distinct from the allochthonous-dominant DOM (*i.e.* inconsistency coefficient = 1.03), which is due to their property contrasts. Briefly speaking, the autochthonous-dominant DOM is relatively more aliphatic, optically lighter, more proteinaceous and at lower molecular weight, whereas the allochthonous-dominant DOM is more aromatic, optically darker, more humic and at higher molecular weight, consistent with previous studies.<sup>46,72</sup> The third group only included the DC, which was remarkably different from any other DOM sample (*i.e.* inconsistency coefficient = 1.78), possibly because the DC is influenced by wastewater effluent.

It is noteworthy that the classification of DOM samples in this study is operational in a relative sense (*i.e.* autochthonous- vs. allochthonous-dominant) and mainly based on optical spectroscopic properties. The classification only served to better interpret the DOM variations. Nonetheless, the

optical spectroscopic properties between these three operationally-classified groups are distinct to express potential effects on metal binding.



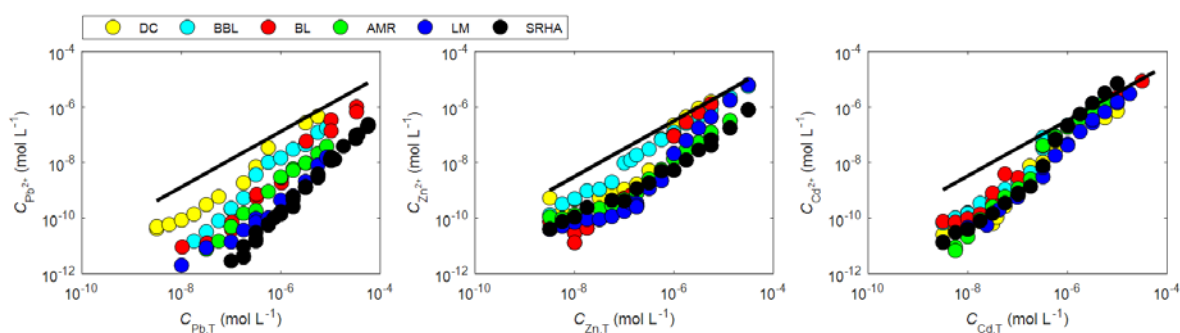
**Figure 1** Dendrogram generated by hierarchical clustering analysis based on DOM properties in Table S1; Note: the PBI values were not included for making the dendrogram because of the missing data for BBL and DC

### *Dependence of metal binding on DOM sources*

The metal binding curves (*i.e.*  $C_{M,T}$  vs.  $C_M^{2+}$ ) were compared between DOM samples (Figure 2). The Pb and Zn binding curves visually varied between DOM samples, but the variation was more substantial for Pb (Figure 2; left plot) than for Zn (Figure 2; middle plot). On the contrary, the Cd binding curves were relatively comparable across all DOM samples (Figure 2; right plot). Depending on the specific  $C_{M,T}$ , the variability in  $C_{Pb}^{2+}$ ,  $C_{Zn}^{2+}$ , and  $C_{Cd}^{2+}$  ranged from 84- to 504-fold, 12- to 74-fold, and 2- to 14-fold, respectively between DOM samples (*i.e.* at similar  $C_{M,T}$  the variability in  $C_M^{2+}$  = the highest  $C_M^{2+}$  / the lowest  $C_M^{2+}$ ; Table S2-S4). Triplicate binding experiments were only performed for Pb binding to SRHA, and the experimental uncertainty of  $C_{Pb}^{2+}$  was 1- to 3-time (*i.e.* at specific  $C_{M,T}$  between triplicate experiments, the uncertainty of  $C_{Pb}^{2+}$  = the highest  $C_{Pb}^{2+}$  / the lowest  $C_{Pb}^{2+}$ ). Assuming similar uncertainty level for Zn and Cd, the

variability in  $C_M^{2+}$  was generally larger than the experimental uncertainty, confirming that the observed difference in  $C_M^{2+}$  is attributed to variation of DOM sources (*i.e.* at fixed  $C_{\text{DOC}}$ , pH and ionic strength).

The dependency of metal binding on DOM source is metal-specific. The dependency is most substantial for Pb, relatively lower for Zn, and the smallest for Cd, indicating these three metals behave differently in response to DOM source variation, possibly due to different nature of metals for complexation. It is known that Pb principally chelates with organic ligands with salicylic- and/or catechol-type structures.<sup>73,74</sup> Therefore, the contrast of aromaticity, indicated by the SUVA<sub>254</sub> and SAC<sub>340</sub> value, between our DOM samples results in distinct discrimination of Pb-binding. Zn and Cd show different preferences of functional groups from Pb for complexation. Zn mainly coordinates to a mixture of sulfur-, oxygen-/nitrogen- and oxygen-containing ligands in soil organic matter,<sup>75,76</sup> and Cd selectively coordinates to reduced sulfur group. These metal-specific preferences of organic ligands may explain the different levels of DOM effects between Pb, Zn, and Cd.

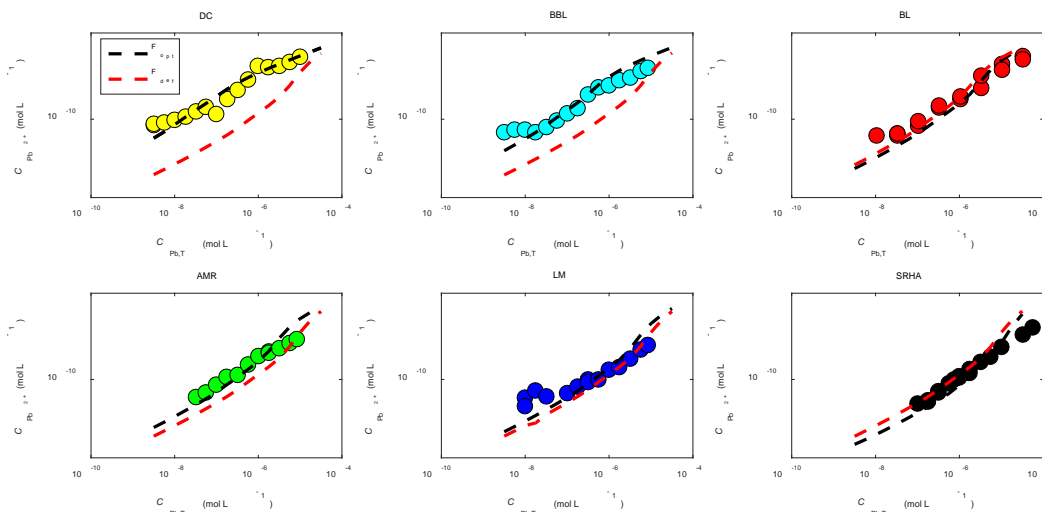


**Figure 2** Influence of DOM on Pb, Zn and Cd binding at  $C_{\text{DOC}} = 10 \text{ mg L}^{-1}$ ,  $\text{pH} = 8.0 \pm 0.1$ , and  $0.1 \text{ mol L}^{-1} \text{ KNO}_3$  electrolyte. The solid line corresponds to the blank in the absence of DOM. Circles in different colors indicates experimental data points. Triplicate experiments were only performed for SRHA.

### *The effects of DOM properties on F and WHAM performance*

The effects of the F value on predicting  $C_{\text{Pb}^{2+}}$  by WHAM were evaluated. At  $F_{\text{def}} = 1.3$ , the agreements between the predicted and measured  $C_{\text{Pb}^{2+}}$  were generally better for SRHA, LM, and AMR ( $\text{RMSE}_{\text{def}} = 0.79\text{--}0.97$ ) than for other samples (Figure 3 and Table S5). The results indicated that  $F_{\text{def}} = 1.3$  was more suitable to represent the active Pb-binding fraction in allochthonous DOM with high aromaticity ( $\text{SUVA}_{254} \geq 14$ ,  $\text{SAC}_{340} \geq 2.8$  and  $\text{SCOA}_{436} \geq 1.45 \text{ m}^{-1} \text{ mg-C}^{-1}$ ). The  $F_{\text{def}} = 1.3$ , however, substantially overestimated the Pb binding to BBL and DC ( $\text{RMSE}_{\text{def}} = 1.11\text{--}2.52$ ) (Figure 3), which were relatively more autochthonous and less aromatic ( $\text{SUVA}_{254} \leq 4.04$ ,  $\text{SAC}_{340} \leq 0.86$ , and  $\text{SCOA}_{436} \leq 0.17 \text{ L m}^{-1} \text{ mg-C}^{-1}$ ). This contrast is reasonable given that much of the WHAM calibration data is based on isolated humic and fulvic acid.<sup>16</sup> The isolated compounds, however, only represent DOM with high allochthonous features, such as SRHA and LM. Optimizing F greatly improved in the case of autochthonous-dominant DOM (BL and BBL) and DC ( $\text{RMSE}_{\text{opt}} \leq 0.58$ ), but only slightly improved for the allochthonous-dominant DOM (SRHA and LM) and AMR ( $\text{RMSE}_{\text{opt}} = 0.57\text{--}0.82$ ) (Table S5). The major source of  $\text{RMSE}_{\text{opt}}$  was at  $C_{\text{Pb}^{2+}} < 10^{-7} \text{ mol L}^{-1}$ , where the measured  $C_{\text{Pb}^{2+}}$  was consistently higher than predicted  $C_{\text{Pb}^{2+}}$ , possibly because the measured  $C_{\text{Pb}^{2+}}$  was very close to the analytical detection limit ( $10^{-11.6} \text{ mol L}^{-1}$ ) at low Pb:DOM ratio. The  $F_{\text{opt}}$  value increased from 0.03 to 1.9 in the following order:  $\text{DC} < \text{BBL} < \text{BL} < \text{AMR} < \text{LM} < \text{SRHA}$  (Table S5), indicating the active Pb-binding fraction in DOM increased as the DOM became increasingly allochthonous. Except the highest  $F_{\text{opt}} = 1.9$  for SRHA, other  $F_{\text{opt}}$  values were smaller than  $F_{\text{def}}$  by 1.6–43 times (Table S5). Therefore, to achieve a reliable prediction of  $C_{\text{Pb}^{2+}}$  it was necessary to adjust the F value for site-specific DOM, particularly for the autochthonous DOMs with low aromaticity.





**Figure 3** The influence of  $F_{\text{opt}}$  and  $F_{\text{def}}$  on predicting  $C_{\text{Pb}}^{2+}$ ; Circles in different colors represent measured  $C_{\text{Pb}}^{2+}$  by AGNES for different samples.

The WHAM performance on predicting  $C_{\text{Zn}}^{2+}$  depended on the  $F$  value and DOM properties (Figure S7), but at a lesser extent compared to Pb. In most cases, better agreements were found between predicted and measured  $C_{\text{Zn}}^{2+}$  for the relatively more allochthonous DOM (*i.e.* LM and AMR;  $\text{RMSE}_{\text{def}} \leq 0.80$ ) than for the autochthonous DOM (BBL and BL) and DC (*i.e.*  $\text{RMSE}_{\text{def}} \geq 1.21$ ). The  $F_{\text{opt}}$  values substantially improved the prediction of  $C_{\text{Zn}}^{2+}$  for the autochthonous DOM (BL and BBL) and DC (*i.e.*,  $\text{RMSE}_{\text{opt}} \leq 0.57$ ), but slightly improved for the allochthonous DOM (*i.e.* LM and AMR;  $\text{RMSE}_{\text{opt}} \leq 0.78$ ). At  $C_{\text{Zn,T}} \leq 10^{-8} \text{ mol L}^{-1}$ , the measured  $C_{\text{Zn}}^{2+}$  was consistently higher than the predicted  $C_{\text{Zn}}^{2+}$  for all samples, likely due the measured  $C_{\text{Zn}}^{2+}$  approaching to the detection limit. The  $F_{\text{opt}}$  values ranged from 0.10 to 1.00 (Table S5), congruent with previous studies (0.13–1.02).<sup>26,36</sup> The  $F_{\text{opt}}$  values were significantly smaller than  $F_{\text{def}}$  by a factor of 1.2–10.8, suggesting the adjustment of  $F$  for a better  $C_{\text{Zn}}^{2+}$  estimation.

The WHAM performance on predicting  $C_{\text{Cd}}^{2+}$  data were less sensitive to the  $F$  value and DOM variations than for Pb and Zn (Figure S8). The agreements between predicted and measured  $C_{\text{Cd}}^{2+}$

were better at  $F_{\text{def}} = 1.3$  than for Pb (Table S5;  $\text{RMSE}_{\text{def}} \leq 0.83$ ). The prediction of  $C_{\text{Cd}}^{2+}$  at  $F_{\text{def}}$  was already acceptable for LM and DC ( $\text{RMSE}_{\text{def}} = 0.23$  and  $0.38$ , respectively), and barely improved at  $F_{\text{opt}}$  ( $\text{RMSE}_{\text{opt}} = 0.22$  and  $0.35$ , respectively). However, the improvements were more remarkable for SRHA, AMR, BL, and BBL ( $\text{RMSE}_{\text{opt}} \leq 0.4$ ). The major source of RMSE mainly derived from the disagreements at  $C_{\text{Cd,T}} \leq 10^{-8} \text{ mol L}^{-1}$ , possibly due to  $C_{\text{Cd}}^{2+}$  measurements closed to detection limit. The  $F_{\text{opt}}$  values ranged from  $0.30$  to  $1.10$  (Table S5), wider than the  $F_{\text{opt}}$  range (*i.e.*  $0.12$ – $0.50$ ) reported by Mueller *et al.*,<sup>26</sup> possibly because greater DOM variations in this study. All the  $F_{\text{opt}}$  values for Cd were smaller than the  $F_{\text{def}}$  by a factor of  $1.2$ – $4.3$ . This deviation from  $F_{\text{def}}$  was smaller than that for Pb (*i.e.* a factor of  $1.6$ – $43$ ), indicating the  $F_{\text{opt}}$  for Cd was less dependent on DOM sources. Using the  $F_{\text{def}} = 1.3$  for predicting  $C_{\text{Cd}}^{2+}$  was found to be acceptable. Our results showed the  $F_{\text{opt}}$  value is DOM site-specific, as well as metal-specific. The  $F_{\text{def}} = 1.3$  overpredicted the Pb and Zn binding to autochthonous DOM, which potentially caused the BLM to underestimate the Pb and Zn toxicity in the autochthonous waters. Therefore, adjusting  $F$  for the consideration of DOM variation is necessary.

#### Relate DOM properties to $F_{\text{opt}}$

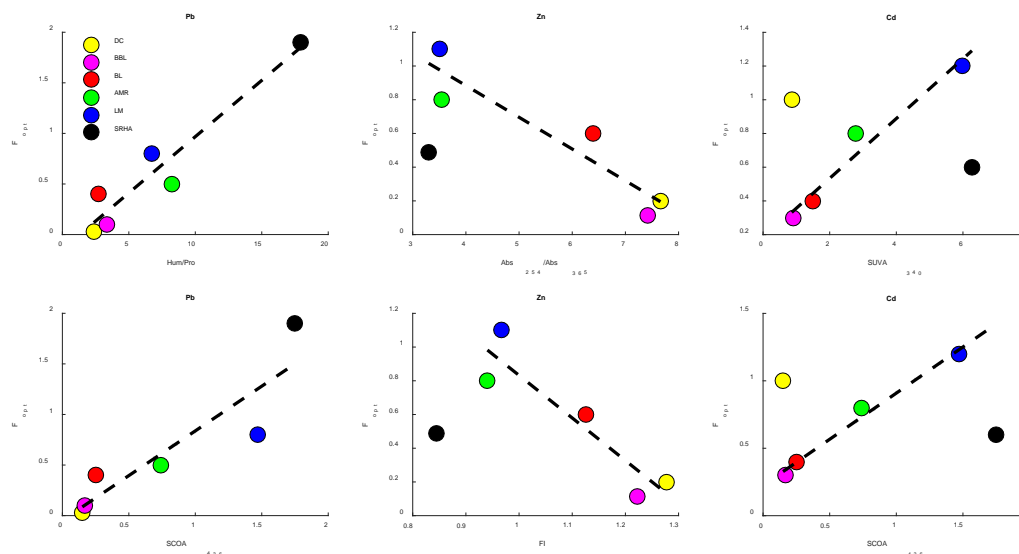
Only a few studies<sup>12,14,25,26</sup> have explored the correlations between the  $F_{\text{opt}}$  values and the DOM optical properties (*i.e.* fluorescent components<sup>14,26,14,26</sup> or  $\text{SUVA}_{254}$  and  $\text{SAC}_{340}$ <sup>12,25</sup>). Most of these studies were limited to Cu,<sup>12,14,25,26</sup> and only one study was available for Zn and Cd<sup>26</sup> and none were found for Pb. More importantly, the derivation of  $F_{\text{opt}}$  values required the determination of  $C_{\text{M}}^{2+}$ . Because AGNES exclusively determines  $C_{\text{Pb}}^{2+}$ ,<sup>33,35</sup>  $C_{\text{Zn}}^{2+}$ ,<sup>52</sup> and  $C_{\text{Cd}}^{2+}$ ,<sup>52</sup> the determination of reliable  $F_{\text{opt}}$  can be achieved. Significant linear correlations were found between DOM properties (except PBI) and  $F_{\text{opt}}$  (Table S6).

For Pb, except  $\text{Abs}_{254}/\text{Abs}_{365}$ ,  $S_R$ , C2, C3, and CRS ( $p > 0.05$ ), the optical proxies showed significant linear correlations with  $F_{\text{opt}}$  ( $p = 0.003\text{--}0.042$ ). The Hum/Pro and  $\text{SCOA}_{436}$  showed relatively stronger linear correlations with  $F_{\text{opt}}$  ( $R^2 = 0.92$  and  $0.82$ ) (Figure 4) than did other optical measures ( $\text{SUVA}_{254}$ ,  $\text{SUVA}_{340}$ , FI, BIX and C1) ( $R^2 = 0.69\text{--}0.82$ ). These combined results indicate that as the DOMs become more allochthonous, more humic-like, more aromatic, and optically darker, the active Pb-binding fraction increases. A similar trend has been observed for Cu in previous studies,<sup>12,14,25,26</sup> indicating Pb may compete with Cu for similar functional groups on aromatic structures. Coordination chemistry has shown Pb predominantly binds to the phenolic and carboxylic group on a salicylic-type structure or two adjacent carboxylic groups on catechol-type structure,<sup>73,74</sup> which explains the increasing  $F_{\text{opt}}$  with increasing aromaticity. The high PBI values in allochthonous DOM samples ( $\text{PBI} \geq 0.72$  for SRHA and LM; Table S1) also support this conclusion (*i.e.* the higher PBI, the stronger the expected metal binding). The insignificant correlations with  $\text{Abs}_{254}/\text{Abs}_{365}$  and  $S_R$  demonstrated that Pb binding was not affected by DOM molecular weight, contradictory to the marine DOM study<sup>77</sup> that observed different size-distributions of different Pb binding sites. Town *et al.*<sup>16</sup> also suggested the dependency of Pb concentration on freshwater size-fractionated DOM. These disagreements may be attributed to the sensitivity of  $\text{Abs}_{254}/\text{Abs}_{365}$  and  $S_R$  in response to molecular weight.

For Zn, no significant correlation between  $F_{\text{opt}}$  and DOM properties was found. When SRHA was not considered, the  $F_{\text{opt}}$  showed significant correlation with  $\text{Abs}_{254}/\text{Abs}_{365}$  and FI ( $R^2 \geq 0.81$ ;  $p \leq 0.03$ ) (Figure 4). The  $F_{\text{opt}}$  decreased with increasing  $\text{Abs}_{254}/\text{Abs}_{365}$  and FI, indicating the active Zn-binding fraction decreased as the DOM molecular weight decreased, and as the DOM source became less allochthonous. Contrarily, Muller *et al.*<sup>26</sup> reported the  $F_{\text{opt}}$  for Zn binding decreases with increasing allochthonous DOM feature (*i.e.* the humic-like component). Without explicit

knowledge of Zn binding sites (*e.g.* structures and compositions), it is impossible to assign  $F_{\text{opt}}$  to specific DOM properties. Coordination chemistry has shown that Zn binding to soil organic matter was through coordination with mixtures of sulfur (4-fold) and oxygen/nitrogen (6-fold) ligands in the first coordination shell.<sup>75</sup> The molecular structures of these ligands are possibly not aromatic, which explains the absence of correlations between  $F_{\text{opt}}$  and aromaticity (*e.g.* SUVA<sub>254</sub> and SUVA<sub>340</sub>).

For Cd, when the full data set is considered, no significant linear correlation was found between DOM properties and  $F_{\text{opt}}$  ( $p > 0.5$ ). When SRHA and DC are excluded as outliers, the  $F_{\text{opt}}$  value linearly increased with increasing SUVA<sub>340</sub> and SCO<sub>A</sub><sub>436</sub> ( $R^2 \geq 0.93$ ;  $p \leq 0.04$ ) (Figure 4), indicating the active Cd-binding sites in these samples may reside on aromatic structures. The structures of Cd-binding sites in SRHA and DC, however, may be essentially different from aromatic features. The SRHA did not have the highest  $F_{\text{opt}}$ , although showing the highest SUVA<sub>340</sub> and SCO<sub>A</sub><sub>436</sub>. Contrarily, DC had the lowest SUVA<sub>340</sub> and SCO<sub>A</sub><sub>436</sub>, but having the second highest  $F_{\text{opt}}$ . Karlsson *et al.*<sup>78</sup> have found the Cd-binding sites in Suwannee River organic matter were mainly mixtures of oxygen/nitrogen and reduced sulfur ligands, and concluded the importance of reduced sulfur for coordination with Cd. The ligands in SRHA and DC, therefore, are possibly related to reduced sulfur with non-aromatic structures, which may explain why the highest aromaticity in SHRA did not correspond to the highest  $F_{\text{opt}}$ , and low aromaticity in DC corresponded to high  $F_{\text{opt}}$ . An alternative explanation of high  $F_{\text{opt}}$  in DC is the strong Cd binding to inorganic sulfide (*i.e.* CRS). Although WHAM did not include the thermodynamic stability constant for Cd binding to CRS, the amount of Cd-CRS can be still equivalently modeled by increasing the amount of bidentate and tridentate binding sites through the increase of  $F$ . Therefore, to account for the high CRS in DC (84.25 nmol mg-DOC<sup>-1</sup>), high  $F_{\text{opt}}$  is required.



**Figure 4** Linear correlations between different optical properties and  $F_{opt}$  for Pb, Cd, and Zn; Note: SRHA for Zn, and SRHA and DC for Cd, were not included in the linear regression analysis.

#### *Potential to estimate $F_{opt}$ for site-specific DOM using optical properties*

Although the compositional and structural knowledge of organic ligands involved in metal binding is unknown,  $F_{opt}$  correlated with different property-indicators and the correlations were metal-specific. The correlations provide a potential to estimate  $F_{opt}$  for site-specific DOM based on simple measurement of optical properties.

For Pb, the aromaticity (Hum/Pro and  $SCOA_{436}$ ) explained the variability of Pb-binding between different DOM samples.

For Zn, the  $F_{opt}$  values were influenced by the molecular weight, although the optical measurement may be not sensitive enough to differentiate molecular weights.

For Cd, the aromaticity may only partially account for Cd-binding variability. Reduced sulfur, including CRS and thiol, needs be considered because of their strong affinity to Cd. If the reduced sulfur groups are not associated with aromatic structure, it is not appropriate to use optical properties to estimate  $F_{opt}$ . The primary production by phytoplankton and macrophyte is the major

contributor of thiol in the autochthonous DOM pool.<sup>72</sup> Measuring the CRS and/thiol concentration in the autochthonous DOM, therefore, is important for predicting Cd speciation. However, for the highly allochthonous DOM, it is feasible to use optical properties to estimate  $F_{\text{opt}}$ .

Overall,  $F_{\text{opt}}$  quantitatively controls the metal binding site capacity, while the binding constant qualitatively regulates binding affinity ( $\log K$ ). The level of metal binding depends on ligand capacity and affinity. If only adjusting binding site capacity cannot fully explain metal binding variability induced by DOM source heterogeneity, adjusting the  $\log K$  may be necessary. Future studies are required to evaluate how metal binding affinity varies between DOM samples.

## *ASSOCIATED CONTENT*

### **Supporting Information**

Descriptions of experimental procedures for FEEM, absorbance, potentiometric titration, and AGNES; method description for hierarchical analysis; model testing of the  $\text{SO}_4^{2-}$  effects on metal binding; table of  $C_{\text{M,T}}$  vs.  $C_{\text{M}^{2+}}$  data; table of statistics of correlation between  $F_{\text{opt}}$  and DOM properties; figures of AGNES validation, FEEM, and proton binding affinity spectrum; This material as a PDF file is available free of charge via the Internet at <http://pubs.acs.org>.

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